

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 2011-02-28
2. **Agency:** 009
3. **Bureau:** 00
4. **Name of this Investment:** Secure One HHS
5. **Unique Project (Investment) Identifier (UPI):** 009-00-02-00-01-0036-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2003

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

Secure One HHS (HHS Cybersecurity Program), the Department's IT Security program, assists in meeting the challenge of protecting HHS' information and information resources. The program is championed to secure HHS' most critical assets (both cyber and physical), such as computer systems, networks, and Department laboratories. Secure One HHS uses program management, governance, and technology to promote sound security practices, support legislative compliance and meet business needs. It focuses on the development and implementation of IT security policy, procedures, and guidance which are promulgated through top down communications, awareness campaigns, and training. Secure One HHS is responsible for the procurement of commercial off-the-shelf (COTS) technology, such as Gideon and Watchfire, to monitor and scan its networks for vulnerabilities. The Program leverages Checkpoint to ensure the encryption of sensitive information and personally identifiable information (PII) and involves staff members to maintain Program data within the Portfolio Management Tool (PMT) to centralize data and to track performance and dashboard trends. Program software tool components, combined with other professional services and a centralized Program management team, ensure a comprehensive, defense-in-depth security strategy. This investment supports HHS' effort to increase its Federal Information Security Management Act (FISMA) score. Prior to Secure One HHS, each HHS OPDIV was responsible for many facets of their own security. Secure One HHS is seeking funding to continue to fill performance gaps, improving HHS' ability to proactively identify vulnerabilities and protect PII, integrating IT security into the enterprise performance lifecycle (EPLC), and ensuring the implementation of security policy and procedures throughout HHS. Funding in FY2012 is also critical for the sustainment of initiatives funded in FY2009 and FY2010 with Recovery Act funding. Primary beneficiaries of this investment include the Operating Divisions (OPDIVs) and Staff Divisions within HHS.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**  
2009-01-30
- b. **Provide the date of the most recent or planned approved project charter.** 2010-09-24

10. **Contact information?**

- a. **Program/Project Manager Name:** \*  
**Phone Number:** \*  
**Email:** \*
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Carleton, Michael  
**Phone Number:** \*  
**Email:** \*

**11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA):** Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 7

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded		HHSN276201000248 U:1	263030501		*	*	\$0.6	Firm Fixed Price	N	2010-04-22	2011-04-30		Endpoint Protection Security Tools-Checkpoint
Awarded		HHSN31600010:8	263010050		*	*	\$1.2	Firm Fixed Price	N	2010-07-14	2011-06-10		Endpoint Protection Security Tools-Watchfire Recompete
Awarded		<a href="#">HHSP233201000120G</a>	GS35F4153D	10233SOL00016	*	*	\$0.2	Firm Fixed Price	X	2010-01-04	2011-09-29	Y	ARRA::YES::ARRA TAS::750141::TAS RECOVERY ENTERPRISE FILE AND EMAIL ENCRYPTION CAPABILITY
Awarded		HHSP23320094401E C:5			*	*	\$0.3	Firm Fixed Price	N	2010-09-11	2011-09-10		FISMA Program Management

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
													- SPORT Recompete - MBL Tech SPORT support
Awarded		<a href="#">HHSP23320095404JI</a>			*	*	\$0.2	Firm Fixed Price	N	2009-08-28		Y	ADP FACILITY MANAGEMENT
Awarded		<a href="#">HHSN276200800107P</a>			*	*	\$1.1	Other (none of the above)	X	2007-12-28	2010-08-13	Y	CIT: CDW-G - WEBSense ENTERPRISE 36 MONTHS
Awarded		HHSN276200800267U			*	*	\$2.0	Time and Materials	Y	2010-07-23	2011-07-22		Trusted Internet Connection (TIC) - NIH
Awarded		HHSN263999900029I	263010050		*	*	\$4.0	Firm Fixed Price	N	2010-03-31	2011-04-01		HHS Funding for OPDIV Security Enhancements - NIH
Awarded		<a href="#">HHSM500201000060U</a>	TIRNO99D00005		*	*	\$1.8	Cost Plus Fixed Fee	N	2010-06-01	2011-05-31	Y	TAS::75 0511::TAS

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
SECURITY													
Awarded		<a href="#">HHSP23320094401 EC</a>			*	*	\$28.9	Labor Hours	Y	2009-09-23	2011-05-11	Y	HHS CISO SECURE ONE PROGRAM SUPPORT
Awarded		<a href="#">HHSM500T0006</a>	HHSM500200900005I		*	*	\$0.1	Firm Fixed Price	N	2010-07-20	2010-09-01	N	TAS::750511::TASC MS ENTERPRISE SECURITY OPERATIONS CENTER IDIQ
Awarded		HHSM5002009000002U:24	26301D0054		*	*	\$0.2	Firm Fixed Price	N	2010-08-19	2010-12-31		HHS Funding for OPDIV Security Enhancements - CMS-Lockheed SOC Equipment

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*



## Part II: IT Capital Investments

### Section A: General

1.
  - a. **Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control.** yes
  - b. **If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.**
2. **Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment.** hhs cybersecurity program uses ibm's appscan as a service. this alleviates hhs from investing in hardware and employing staff to maintain appscan's it infrastructure. hhs is also working on security controls & standards with ccac, fedramp and isimc.
3. **Provide the date of the most recent or planned Quality Assurance Plan** 2010-09-24
4.
  - a. **Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.**
  - b. **If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).**
5. **An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment.** 2010-11-18
6. **Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated.** 2010-10-08

## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY2009 SS Program Management Support, Fisma Compliance, and Oversight Investment Renewal	SS	*	\$7.0	\$7.0	2009-10-23	2009-10-23	2010-04-22	2009-12-31	100.00%	100.00%
FY2009 SS Securify	SS	*	\$1.1	\$1.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY2009 SS Fisma Support Investment Renewal	SS	*	\$0.2	\$0.2	2009-09-11	2009-09-10	2010-09-10	2009-12-31	100.00%	100.00%
FY2009 SS Computer Security Incident Response Center (CSIRC) Investment Renewal	SS	*	\$2.8	\$2.8	2009-03-31	2009-03-30	2010-03-30	2009-12-31	100.00%	100.00%
FY2009 SS Scanning Investment Renewal	SS	*	\$1.2	\$1.2	2009-07-25	2009-07-25	2010-07-24	2009-12-31	100.00%	100.00%
FY2009 SS Fisma User License Investment Renewal	SS	*	\$0.3	\$0.3	2009-05-01	2009-05-01	2010-04-30	2009-12-31	100.00%	100.00%
FY2009 SS Encryption Investment Renewal	SS	*	\$1.1	\$1.1	2009-04-30	2009-04-30	2010-04-29	2009-12-31	100.00%	100.00%
FY2010 SS	SS	*	\$0.6	\$0.7	2010-04-30	2010-04-01	2011-04-29	2010-04-09	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Encryption Investment Renewal										
FY2010 SS Vulnerability Management Investment Renewal	SS	*	\$0.4	\$0.3	2010-07-01	2010-07-01	2011-06-30	2010-08-10	100.00%	100.00%
FY2010 SS Computer Security Incident Response Center (CSIRC)	SS	*	\$4.3	\$5.0	2010-03-31	2010-03-31	2011-03-30	2010-08-10	100.00%	100.00%
FY2010 SS TIC	DME	*	\$0.0	\$0.0	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
FY2010 SS Fisma Support Investment Renewal	SS	*	\$0.3	\$0.3	2010-09-11	2009-10-01	2011-09-10	2010-09-30	100.00%	100.00%
FY2010 SS Scanning Investment Renewal	SS	*	\$1.2	\$1.3	2009-07-25	2009-10-01	2011-07-24	2010-09-30	100.00%	100.00%
FY2010 SS Program Management Support, Fisma Compliance, and Oversight Investment Renewal	SS	*	\$7.2	\$7.3	2010-04-23	2010-01-25	2011-04-30	2010-09-30	100.00%	100.00%
FY2010 SS OPDIV Investments	SS	*	\$10.9	\$10.2	2009-10-01	2009-10-01	2010-09-30	2010-04-30	100.00%	100.00%
FY2010 SS Fisma User License Investment Renewal	SS	*	\$0.2	\$0.1	2009-05-01	2009-10-01	2011-04-30	2010-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY2010 SS GSA OMB Fisma Tool	SS	*	\$0.1	\$0.0	2009-10-01	2009-10-01	2010-09-30	2010-08-10	100.00%	100.00%
FY 2011 HHS FISMA/POAM tool Security and Privacy Online Reporting Tool (SPORT) - Renewal of hosting services and licenses	SS	*	\$0.5	\$0.4	2010-09-28	2010-09-28	2011-05-10		82.00%	82.00%
FY 2011 FISMA Program Management Support - Renewal of contractor services	SS	*	*	*	2011-05-11	*	2012-05-10	*	*	*
FY 2011 Vulnerability Management Tool - Renewal	SS	*	*	*	2011-09-15	*	2011-09-15	*	*	*
FY 2011 Endpoint encryption - Renewal of encryption licenses and O&M support	SS	*	\$0.8		2011-05-01		2011-05-01		0.00%	0.00%
FY2011 SS Enterprise File and Email Encryption Investment Renewal	SS	*	\$0.0	\$0.0	2010-10-01	2010-10-01	2011-02-16	2011-02-16	100.00%	100.00%
FY 2011 Website vulnerability scanning tool - Renewal	SS	*	*	*	2011-07-25	*	2012-07-25	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY2011 SS OPDIV Investments	SS	*	\$1.4	\$1.4	2010-10-01	2010-10-01	2011-02-16	2011-02-16	100.00%	100.00%
FY2011 SS GSA OMB Fisma Tool	SS	*	\$0.0	\$0.0	2010-10-01	2010-10-01	2011-02-16	2011-02-16	100.00%	100.00%
FY 2011 Computer Security Incident Response Center (CSIRC) - Operations Support	SS	*	\$0.8	\$0.4	2010-10-01	2010-10-01	2011-09-30		50.00%	50.00%
FY2011 SS TIC	SS	*	\$0.2	\$0.2	2010-10-01	2010-10-01	2011-02-16	2011-02-16	100.00%	100.00%
FY2011 SS Fisma Support Investment Renewal	SS	*	\$0.0	\$0.0	2010-10-01	2010-10-01	2011-02-16	2011-02-16	100.00%	100.00%
FY 2013 Steady State Cybersecurity projects	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
FY 2011 OMB FISMA Tool - Software renewal fee to GSA	SS	*	*	*	2011-07-22	*	2011-07-22	*	*	*
FY 2011 Government FTE - FISMA Program Management, CSIRC, IT Security engineering and OPDIV Support	SS	*	\$3.4	\$1.6	2010-10-01	2010-10-01	2011-09-30		45.00%	45.00%
FY 2011 Computer Security Incident Response Center (CSIRC) - Core	SS	*	*	*	2011-09-01	*	2012-08-31	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Contract and incident handling system										
FY 2011 Computer Security Incident Response Center (CSIRC) - Recovery Act outyear and buildout investment	SS	*	\$3.9	\$1.9	2011-02-01	2011-02-01	2011-05-31		50.00%	50.00%
FY 2010 Trusted Internet Connection (TIC) Requirements Analysis Phase	DME	*	\$0.5	\$0.4	2010-08-31	2010-08-31	2011-04-20		90.00%	88.00%
FY 2010 Trusted Internet Connection (TIC) Design Phase	DME	*	\$1.5	\$0.7	2010-10-29	2010-10-29	2011-08-29		55.00%	55.00%
FY 2011 Trusted Internet Connection - Implementation Phase 1 - Procurement for equipment for one TIC facility - DC Metro and one Internet Connection	DME	*	*	*	2011-07-01	*	2011-09-08	*	*	*
FY2011 Trusted Internet Connection - Test Phase	DME	*	*	*	2011-07-01	*	2011-09-05	*	*	*
FY 2011 Department-wide Websense -	SS	*	*	*	2011-06-30	*	2011-06-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Enterprise renewal										
FY 2011 Secure Internet Gateway and DLP	SS	*	*	*	2011-05-31	*	2012-05-30	*	*	*
FY 2011 Enterprise File and E-mail Encryption Capability	SS	*	*	*	2011-09-30	*	2011-09-30	*	*	*
FY 2012 FISMA Program Management Support - Renewal of contractor services	SS	*	*	*	2012-05-11	*	2013-05-10	*	*	*
FY 2012 OMB FISMA Tool - Software renewal fee to GSA	SS	*	*	*	2012-07-23	*	2012-07-23	*	*	*
FY 2012 HHS FISMA/POAM tool Security and Privacy Online Reporting Tool (SPORT) - Renewal of hosting services and licenses	SS	*	*	*	2011-09-28	*	2012-05-10	*	*	*
FY 2012 C&A Security Contract	SS	*	*	*	2012-02-01	*	2013-01-30	*	*	*
FY 2012 Government FTE - FISMA Program Management, CSIRC, IT Security engineering and	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
OPDIV Support										
FY 2012 Computer Security Incident Response Center (CSIRC) - Operations Support	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*
FY 2012 Computer Security Incident Response Center (CSIRC) - Core Contract and incident handling system	SS	*	*	*	2012-09-01	*	2013-08-31	*	*	*
FY 2012 Computer Security Incident Response Center (CSIRC) - Recovery Act outyear	SS	*	*	*	2012-02-01	*	2012-05-31	*	*	*
FY 2012 Trusted Internet Connection (TIC) Design Phase - Prepare Implementation Plans	DME	*	*	*	2011-10-01	*	2012-11-01	*	*	*
FY 2012 Trusted Internet Connection - Implementation Phase 2 & 3 - Build Production Infrastructure for DC Metro TIC facility and Implement	DME	*	*	*	2011-10-09	*	2011-12-17	*	*	*



Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Organization Procedures										
FY 2012 Trusted Internet Connection - Implementation Phase - Phase 2 & 3 - Submit Procurement Request for Equipment ATL TIC facility AND 1 Internet Connections	DME	*	*	*	2011-10-13	*	2011-12-21	*	*	*
FY 2012 Trusted Internet Connection - Implementation Phase - Phase 2 & 3 - Submit Procurement Request for Equipment ABQ TIC facility, Internet Connection AND Telecom Circuits	DME	*	*	*	2011-10-13	*	2011-12-21	*	*	*
FY 2012 Trusted Internet Connection - Development Phase	DME	*	*	*	2011-10-16	*	2011-11-19	*	*	*
FY 2012 Trusted Internet Connection - Implementation Phase 4 & 5 - C&A and ATO	DME	*	*	*	2011-10-20	*	2012-01-02	*	*	*
FY 2012 Endpoint	SS	*	*	*	2012-05-01	*	2012-05-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
encryption - Renewal of encryption licenses and O&M support										
FY 2012 Vulnerability Management Tool - Renewal of professional support	SS	*	*	*	2012-09-15	*	2012-09-15	*	*	*
FY 2012 Website vulnerability scanning tool - Renewal	SS	*	*	*	2012-07-24	*	2013-07-24	*	*	*
FY 2012 Department-wide Websense - Enterprise renewal	SS	*	*	*	2012-06-30	*	2012-06-30	*	*	*
FY 2012 Secure Internet Gateway and DLP	SS	*	*	*	2012-05-31	*	2013-05-30	*	*	*
FY 2012 Enterprise File and E-mail Encryption Capability	SS	*	*	*	2012-09-30	*	2012-09-30	*	*	*
FY 2012 Computing Infrastructure Security Projects- OPDIV Infrastructure Security	SS	*	*	*	2011-10-01	*	2012-09-30	*	*	*
FY 2013 Steady State Trusted Internet Connection (TIC)	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2014 Steady State Cybersecurity projects	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY 2014 Steady State Trusted Internet Connection (TIC)	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY 2015 Steady State Cybersecurity projects	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY 2015 Steady State Trusted Internet Connection (TIC)	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY 2016 Steady State Cybersecurity projects	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
FY 2016 Steady State Trusted Internet Connection (TIC)	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2010-02-05

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Information Security	Percentage of HHS IT system audit logs analyzed by HHS for intrusions and security attacks	quarterly	%	Increase	55	2009-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	60	NA	Not Due	2011-02-28
			2011	90	64		2011-02-28
Technology	Standards Compliance and Deviations	Percentage of HHS computing assets/devices that are in compliance with Federal security configuration guidance	quarterly	%	Increase	90	2009-09-30
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	65	65	Met	2010-09-16
			2010	100	79		2011-02-28
			2011	100	93		2011-02-28
Mission and Business Results	Information Security	Percentage of HHS computing enterprise monitored by HHS	quarterly	%	Increase	55	2009-09-30

automated intrusion  
detection systems

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	55	55	Met	2010-09-16
2010	60	88	Met	2010-12-29
2011	90	92		2011-02-28
2012	95	TBD		2010-09-16

Processes and Activities	Security	Percentage of HHS users with laptops or desktops capable of encrypting sensitive information on removable media; and/or percentage of users provided with removable USB storage devices equipped with automatic encryption capabilities	quarterly	%	Increase	40	2009-09-30
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	40	40	Met	2010-09-16
2010	55	73	Met	2010-12-29
2011	95	77		2011-02-28
2012	99	TBD		2010-09-16

Customer Results	Service Efficiency	Percentage of the HHS computing enterprise	quarterly	%	Increase	60	2009-09-30
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protected with Internet  
content filtering and  
anti-malware solutions

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2009	60	60	Met	2010-09-16
2010	60	99	Met	2010-12-29
2011	90	100		2011-02-28
2012	95	TBD		2010-09-16

\* - Indicates data is redacted.